



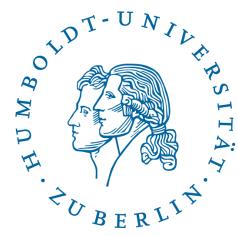
# Conditional Sentences as Conditional Speech Acts

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## Two analyses of conditionals

- ◆ Two examples of conditional sentences:
  - 1) If Fred was at the party, the party was fun.
  - 2) If 27419 is divisible by 7, I will propose to Mary.
- ◆ Analysis as conditional propositions (CP):  
conditional sentence has **truth conditions**, e.g. Stalnaker, Lewis, Kratzer:  
Stalnaker 1968:  $[\varphi > \psi] = \lambda i [\psi(ms(i, \varphi))]$ ,  
 $ms(i, \varphi)$  = the world maximally similar to  $i$  such that  $\varphi$  is true in that world  
Explains embedding of conditionals:
  - 3) Wilma knows that if Fred was at the party, the party was fun.
- ◆ Conditional assertion / speech act (CS):  
suppositional theory, e.g. Edgington, Vanderveken, Starr:  
Under the condition that Fred was at the party it is asserted that it was fun.  
Explains different speech acts, e.g. questions, exclamatives:
  - 4) If Fred was at the party, was the party fun?
  - 5) If Fred had been at the party, how fun it would have been!

# Some views on conditionals

- ◆ Linguistic semantics: overwhelmingly CP  
Philosophy of language: mixed CS / CP
- ◆ Quine 1950: CS
 

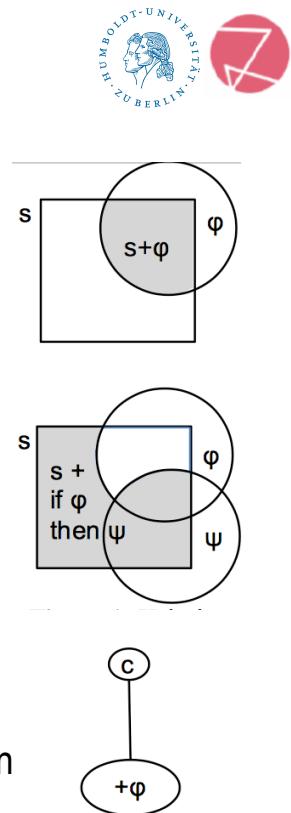
"An affirmation of the form 'if p, then q' is commonly felt less as an affirmation of a conditional than as a conditional affirmation of the consequent."
- ◆ Stalnaker 2009: CP or CS?
 

"While there are some complex constructions with indicative conditionals as constituents, the embedding possibilities seem, intuitively, to be highly constrained.  
 (...) The proponent of a non-truth-conditional [CA] account needs to explain what embeddings there are, but the proponent of a truth-conditional [CP] account must explain why embedded conditionals don't seem to be interpretable in full generality."
- ◆ My goals: defend CS
  - Develop a formal framework for CS,  
this is done within Commitment Space Semantics (Cohen & Krifka 2014, Krifka 2015).
  - Explain (restrictions of) embeddings of conditional clauses
  - Propose a unifying account for indicative and counterfactual conditionals

# Modeling the Common Ground

- ◆ Common Ground: Information considered to be shared
- ◆ Modeling by context sets (propositions):
  - $s$ : set of possible worlds (= proposition)
  - $s + \varphi = s \cap \varphi$ , update with proposition  $\varphi$  as intersection
  - $s + [\text{if } \varphi \text{ then } \psi] = s - [[s + \varphi] - [s + \varphi + \psi]]$ ,  
update with conditional (Heim 1983)
  - Update with tautologies meaningless,  
 $s + '27419 \text{ is divisible by } 7' = s$
- ◆ Modeling by sets of propositions
  - $c$ : sets of propositions
  - $c$  not inconsistent: no  $\varphi$  such that  $c \models \varphi$  and  $c \models \neg\varphi$ ,  
where  $\models$  may be a weaker notion of derivability
  - $c + \varphi = c \cup \{\varphi\}$ , update with proposition as adding proposition
  - update as a function:  

$$c + f(\varphi) = f(\varphi)(c) = \lambda c'[c' \cup \{\varphi\}](c) = c \cup \{\varphi\}$$



# Commitment States

- ◆ Propositions enter common ground by speech acts,  
e.g. assertion (Ch. S. Peirce, Brandom, McFarlane, Lauer):
- 6) A, to B: The party was fun.
  - a. A commits to the truth of the proposition ‘the party was fun’
  - b. (a) carries a risk for A if the proposition turns out to be false.
  - c. (a, b) constitute a reason for B to believe ‘the party was fun’
  - d. A knows that B knows (a-d), B knows that A knows (a-d)
  - e. From (a-d): A communicates to B that the party was fun (Grice, nn-meaning).
- ◆ Update of common ground:
  - a.  $c + A \vdash \varphi = c'$  update with proposition ‘A is committed to truth of  $\varphi$ ’
  - b. If accepted by B:  $c' + \varphi = c''$
- ◆ This is a conversational implicature that can be cancelled:
- 7) Believe it or not, the party was fun.
- ◆ As  $c$  contains commitments, we call it a **commitment state**
- ◆ Commitment operator  $\vdash$  possibly represented in syntax,  
e.g. verb second in German, declarative affixes in Korean  
Suggested analysis for German:  $[_{\text{ActP}} \cdot [_{\text{CommitP}} \vdash [_{\text{TP}} \text{ the party was fun}]]]$
- ◆ Other acts, e.g. exclamatives, require other operators.

# Commitment Spaces

- ◆ Commitment Spaces (CS):  
commitment states with future development,  
cf. Cohen & Krifka 2014, Krifka 2014, 2015
- ◆ A CS is a set  $C$  of commitment states  $c$   
with  $\cap C \subseteq C$  and  $\cap C \neq \emptyset$ ;  
 $\cap C$  is the **root** of  $C$ , written  $\sqrt{C}$
- ◆ Update:  $C + \varphi = \{c \in C \mid \varphi \in c\}$ ,  
as function:  $F(\varphi) = \lambda C \{c \in C \mid \varphi \in c\}$
- ◆ Denegation of speech acts  
(cf. Searle 1969, Hare 1970, Dummett 1973)

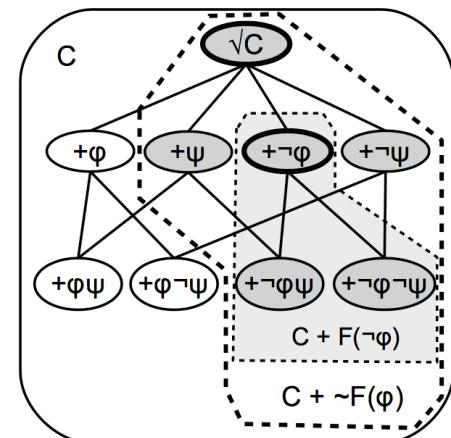
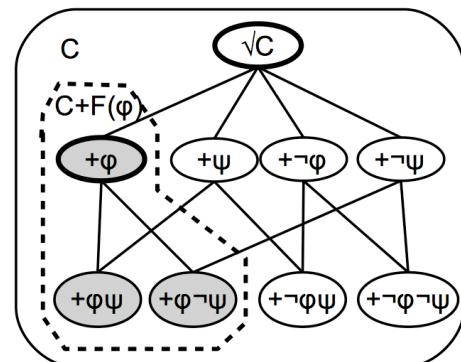
- 8) I don't promise to come.  
9) I don't claim that Fred spoiled the party.

Formal representation of denegation:

$$C + \neg A = C - [C + A]$$

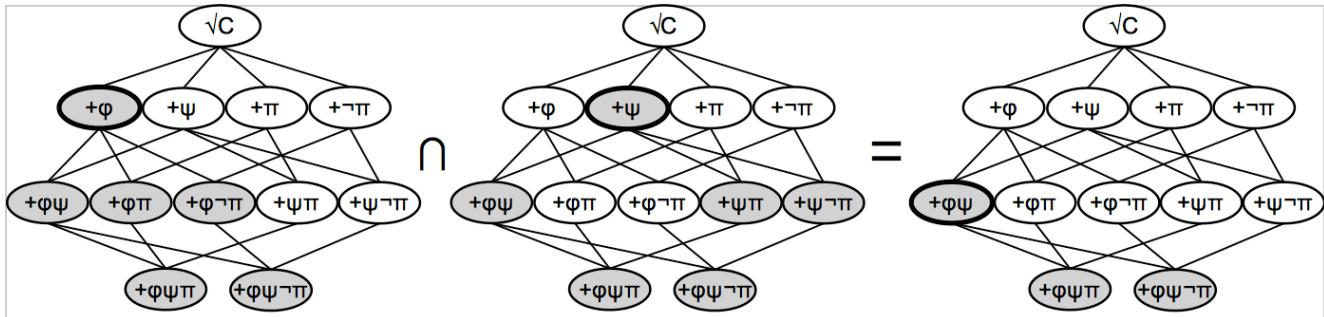
this is dynamic negation in Heim 1983

- ◆ Speech acts that do not change the root:  
**meta speech acts** (cf. Cohen & Krifka 2014)



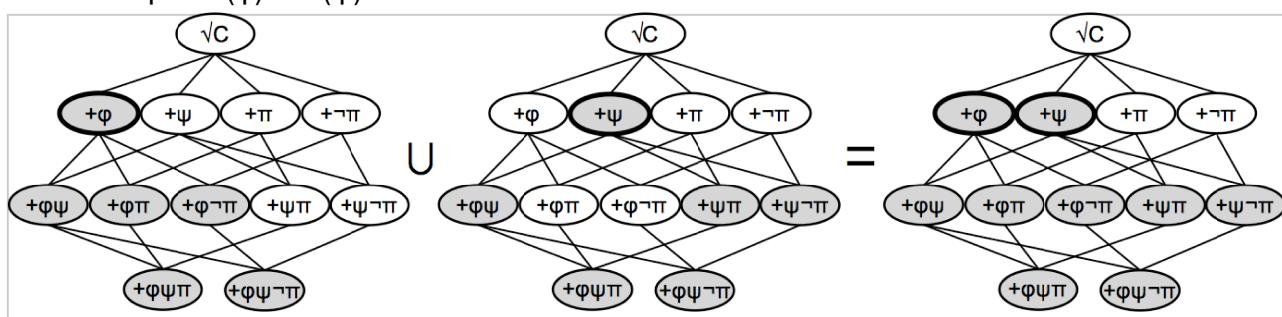
# Boolean Operations on CSs

- ◆ Speech acts  $\mathfrak{A}$  as functions from CS to CS:  $\lambda C \{c \in C \mid \dots\}$
- ◆ Denegation:  $\sim \mathfrak{A} = \lambda C [C - [C + \mathfrak{A}]]$
- ◆ Dynamic conjunction:  $[\mathfrak{A}; \mathfrak{B}] = \mathfrak{B}(\mathfrak{A}(C))$ , function composition
- ◆ Boolean conjunction:  $[\mathfrak{A} \& \mathfrak{B}] = \lambda C [\mathfrak{A}(C) \cap \mathfrak{B}(C)]$ , set intersection
- ◆ Example:  $F(\varphi) \& F(\psi)$ ,  
same result as  $F(\varphi) ; F(\psi)$



# Boolean operations: Disjunction

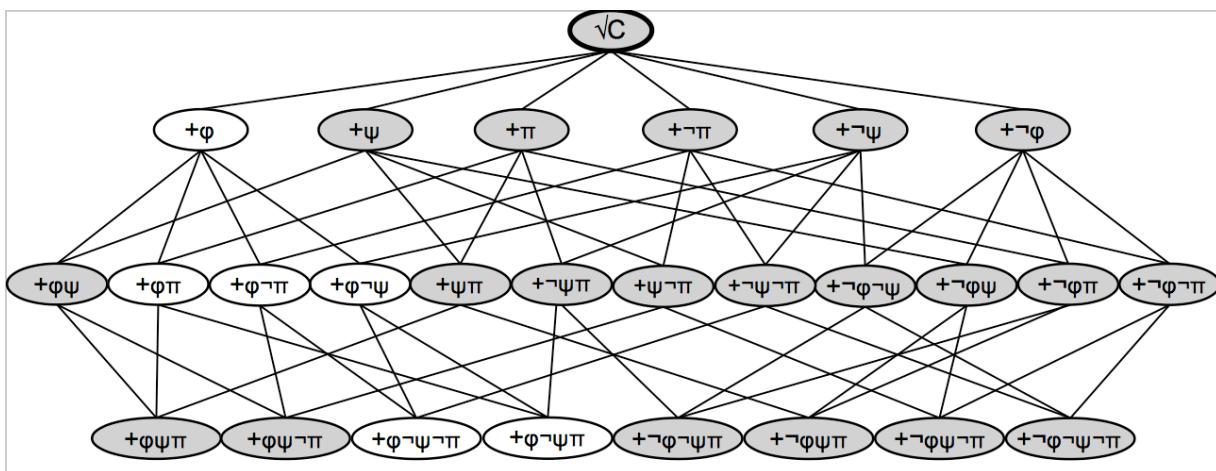
- ◆ Boolean Disjunction:  $[\mathfrak{A} \vee \mathfrak{B}] = \lambda C [\mathfrak{A}(C) \cup \mathfrak{B}(C)]$
- ◆ Example:  $F(\varphi) \vee F(\psi)$



- ◆ Note: Disjunction does not necessarily lead to single-rooted CS  
Problem of speech-act disjunction,  
cf. Dummett 1973, Merin 1991, Krifka 2001, Gärtner & Michaelis 2010
  - ◆ Solution: allow for multi-rooted commitment spaces;  
 $\sqrt{C}$ , the **set** of roots of  $C$ ,  $=_{\text{def}} \{c \in C \mid \neg \exists c' \in C [c' \subset c]\}$
  - ◆ In this reconstruction, we have Boolean laws,  
e.g. double negation:  $\sim \sim \mathfrak{A} = \mathfrak{A}$ , de Morgan:  $\sim [\mathfrak{A} \vee \mathfrak{B}] = [\sim \mathfrak{A} \& \sim \mathfrak{B}]$
  - ◆ But there is pragmatic pressure to avoid multi-rooted CSs
- 10) It is raining, or it is snowing understood as: It is raining or snowing.

# Conditional speech acts

- ◆ Conditionals express a **conditional update** of a commitment space that is effective in possible future developments of the root.
- ◆ **if  $\varphi$  then  $\psi$** : If we are in a position to affirm  $\varphi$ , we can also affirm  $\psi$ .
  - hypothetical conditionals in Hare 1970
  - Krifka 2014 for biscuit conditionals
- ◆ Proposal for conditionals:  $[\varphi \Rightarrow \psi] = \lambda C \{c \in C \mid \varphi \in c \rightarrow \psi \in c\}$
- ◆ Note that this is a meta-speech act: it does not change the root



# Conditional speech acts

- ◆ Conditionals in terms of updates:
  - $[\mathfrak{A} \Rightarrow \mathfrak{B}] = \lambda C \{c \in C \mid c \in \mathfrak{A}(C) \rightarrow c \in \mathfrak{B}(\mathfrak{A}(C))\}$
  - $[\mathfrak{A} \Rightarrow \mathfrak{B}] = [[\mathfrak{A} ; \mathfrak{B}] V \sim \mathfrak{A}]$  (cf. Peirce / Ramsey condition)
  - $[\mathfrak{A} \Rightarrow \mathfrak{B}] = [\sim \mathfrak{A} V \mathfrak{B}]$  (if no anaphoric bindings between A and B)
- ◆ Antecedent not a speech act (cf. Hare 1970);  
 if/wenn updates without commitment;  
 verb final order in German, embedded clauses without illocutionary force:
  - 11) Wenn Fred auf der Party war, [dann war die Party lustig].  
 lack of speech act operators in antecedent
  - 12) If Fred (\*presumably) was at the party, then the party (presumably) was fun.
- ◆ Conditional speech act analysis of conditionals,  
 acknowledging that antecedent is a proposition, not a speech act:  
 $[\varphi \Rightarrow \mathfrak{B}] = [F(\varphi) \Rightarrow \mathfrak{B}] = [\sim F(\varphi) V \mathfrak{B}]$
- ◆ possible syntactic implementation for conditional assertion:  
 $\llbracket \text{[}_{\text{ActP}} \text{[}_{\text{CP}} \text{if } \varphi \text{] [then } \text{[}_{\text{ActP}} \cdot \text{[}_{\text{CommitP}} \vdash \text{[}_{\text{TP}} \psi \text{]]} \rrbracket^S = [F(\varphi) \Rightarrow S \vdash \psi], S: \text{speaker}$

# Conditional speech acts

- ◆ Pragmatic requirements for  $[\varphi \Rightarrow \mathcal{B}]$ :
    - Grice 1988, Warmbröd 1983, Veltman 1985:
      - Update of C with  $F(\varphi)$  must be pragmatically possible i.e. informative and
      - Update of  $C + F(\varphi) + \mathcal{B}$  must be pragmatically possible not excluded
  - ◆ Theory allows for other speech acts, e.g. imperatives, exclamatives; questions:
    - $C + S_1 \text{ to } S_2: \text{if } \varphi \text{ then QUEST } \psi = C + [[F(\varphi); ?(S_2 \vdash \psi)] \vee \sim F(\varphi)]$
    - see Krifka 2015, Cohen & Krifka (today) for modeling of questions
  - ◆ Conversational theory of conditionals; analysis of **if  $\varphi$  then ASSERT( $\psi$ )** as:
    - if  $\varphi$  becomes established in CG, then S is committed for truth of  $\psi$ ;
    - not: if  $\varphi$  is true, then speaker vouches for truth of  $\psi$
- 13) If Goldbach's conjecture holds, then I will give you one million euros.
- 'If it becomes established that G's conjecture holds, I will give you 1Mio €'
  - S can be forced to accept "objective" truth, decided by independent referees
- 14) Father, on deathbed to daughter: If you marry, you will be happy.
- Future development of CS is generalized to times after participants even exist

# Embedding of Conditionals

- ◆ What does this analysis of speech acts tell us about the complex issue of embedding of conditionals?
- ◆ Cases to be considered:
  - Conjunction of conditionals: ✓
  - Disjunction of conditionals: %
  - Negation of conditionals: %
  - Conditional consequents: ✓
  - Conditional antecedents: %
  - Conditionals in propositional attitudes: ✓

## Embedding: Conjunctions ✓

- ◆ Dynamic conjunction = Boolean conjunction (without anaphoric bindings)
 
$$\begin{aligned} [[\mathcal{A} \Rightarrow \mathcal{B}] ; [\mathcal{A}' \Rightarrow \mathcal{B}']] \\ = [\mathcal{A} \Rightarrow \mathcal{B}] \& [\mathcal{A}' \Rightarrow \mathcal{B}'] \\ = [\mathcal{B} \vee \neg \mathcal{A}] \& [\mathcal{B}' \vee \neg \mathcal{A}'] \end{aligned}$$
- ◆ This gives us transitivity:
 
$$[C + [\mathcal{A} \Rightarrow \mathcal{B}] \& [\mathcal{B} \Rightarrow \mathcal{C}]] \subseteq C + [\mathcal{A} \Rightarrow \mathcal{C}]$$
- ◆ For CP analysis, transitivity needs stipulation about ms relation:
  - $[\varphi > \psi] \wedge [\psi > \pi] = \lambda i [\psi(ms(i, \varphi)) \wedge \pi(ms(i, \psi))]$ ,
  - $[\varphi > \pi] = \lambda i [\pi(ms(i, \varphi))]$ ,
  - $[\varphi > \psi] \wedge [\psi > \pi] \subseteq [\varphi > \pi] \text{ if } ms(i, \varphi) = ms(i, \psi)$

## Embeddings: Disjunctions %

- ◆ Disjunction of conditionals often considered problematic (cf. Barker 1995, Edgington 1995, Abbott 2004, Stalnaker 2009).
- 15) If you open the green box, you'll get 10 euros,  
or if you open the red box you'll have to pay 5 euros.
- ◆ We have the following equivalence (also for material implication)
 
$$\begin{aligned} [[\mathcal{A} \Rightarrow \mathcal{B}] \vee [\mathcal{A}' \Rightarrow \mathcal{B}']] &= [[\neg \mathcal{A} \vee \mathcal{B}] \vee [\neg \mathcal{A}' \vee \mathcal{B}']] \\ &= [[\neg \mathcal{A} \vee \mathcal{B}'] \vee [\neg \mathcal{A}' \vee \mathcal{B}]] = [[\mathcal{A} \Rightarrow \mathcal{B}'] \vee [\mathcal{A}' \Rightarrow \mathcal{B}]] \end{aligned}$$
- ◆ This makes (15) equivalent to (16):
 16) If you open the green box, you'll pay five euros,  
or if you open the red box, you'll get 10 euros
- ◆ Typically the two antecedents are mutually exclusive, resulting in a tautology:
  - $[[\mathcal{A} \Rightarrow \mathcal{B}] \vee [\mathcal{A}' \Rightarrow \mathcal{B}']] = [[\mathcal{A} \& \mathcal{A}'] \Rightarrow [\mathcal{B} \vee \mathcal{B}']]$
  - if  $C + [\mathcal{A} \& \mathcal{A}'] = \emptyset$ , this results in a tautology,  
antecedents of disjunctions are easily understood as mutually exclusive
  - Following Gajewski (2002), systematic tautology results in ungrammaticality.

## Embeddings: Disjunctions %

- ◆ For the CP theory, conditionals should not be difficult to disjoin;
    - $[\varphi > \psi] \vee [\varphi' > \psi']$  is not equivalent to  $[\varphi > \psi'] \vee [\varphi' > \psi]$ ,
    - if  $\varphi' = \neg\varphi$ , this does not result in a tautology.
  - ◆ Some disjoined conditionals are easy to understand, cf. Barker 1995:
- 17) Either the cheque will arrive today, if George has put it into the mail,  
or it will come with him tomorrow, if he hasn't.
- ◆ Parenthetical analysis:
- 18) The cheque will arrive today (if George has put it into the mail)  
or will come with him tomorrow (if he hasn't).
- $[\text{ASSERT}(\psi) \vee \text{ASSERT}(\pi)]; [F(\varphi) \Rightarrow \text{ASSERT}(\psi)]; [F(\neg\varphi) \Rightarrow \text{ASSERT}(\omega)]$   
 Entails correctly that one of the consequents is true.

## Embeddings: Negation %

- ◆ Regular syntactic negation does not scope over if-part:
- 19) If Fred was at the party, the party wasn't fun.  
 Predicted by CS theory, as conditional is a speech act, not a proposition.
- ◆ The closest equivalent to negation that could apply is denegation:  
 $\sim[\mathfrak{A} \Rightarrow \mathfrak{B}] = \sim[\sim\mathfrak{A} \vee \mathfrak{B}] = [\mathfrak{A} \& \sim\mathfrak{B}]$   
 But the following clauses are not equivalent
- (i) I don't claim that if the glass dropped, it broke.  
 (ii) The glass dropped and/but I don't claim that it broke.
- Reason: Pragmatics requires that  $\mathfrak{A}$  is informative,  
 hence (i) implicates that it is not established that the glass broke,  
 in contrast to (ii).  
 Another reason: (ii) establishes the proposition the glass dropped  
 without any assertive commitment, just by antecedent.

# Embeddings: Negation %

- ◆ Forcing wide scope negation: Barker 1995, metalinguistic negation:

20) **S<sub>1</sub>:** It's not the case that if God is dead, then everything is permitted.

'Assumption that God is dead does not license the assertion that everything is permitted.'

- ◆ Punčochář 2015, cf. also Hare 1970:

negation of *if φ then ψ* amounts to: Possibly: φ but not ψ

- ◆ Implementation in Commitment Space Semantics:

$C + \Diamond \mathfrak{A} =_{\text{def}} C \text{ iff } C + \mathfrak{A} \text{ is defined,}$

i.e. leads to a set of consistent commitment states.

- ◆ Speech act negation  $\Diamond \sim \mathfrak{A}$

- ◆ Use of *no* to express this kind of negation:

21) **S<sub>1</sub>:** This number is prime.

**S<sub>2</sub>:** No. It might have very high prime factors.

- ◆ Applied to conditionals:

$C + \Diamond \sim [\mathfrak{A} \Rightarrow \mathfrak{B}] = C \text{ iff } C + \sim [\mathfrak{A} \Rightarrow \mathfrak{B}] \neq \emptyset$

iff  $C + [\mathfrak{A} \& \sim \mathfrak{B}] \neq \emptyset$

i.e. in C,  $\mathfrak{A}$  can be assumed without assuming  $\mathfrak{B}$

# Embeddings: Negation %

- ◆ Égré & Politzer 2013 assume three different negations:

- neg  $[\phi \rightarrow \psi] \Leftrightarrow \phi \wedge \neg \psi$ , if speaker is informed about truth of φ
- neg  $[\phi > \psi] \Leftrightarrow \phi > \neg \psi$ , if sufficient evidence that φ is a reason for  $\neg \psi$
- neg  $[\phi > \psi] \Leftrightarrow \neg [\phi > \psi] \Leftrightarrow [\phi > \neg \Box \psi]$ , basic form

- ◆ Reason: Different elaborations of the negation of conditionals,

22) **S<sub>1</sub>:** If it is a square chip, it will be black.

**S<sub>2</sub>:** No (negates this proposition)

(i) there is a square chip that is not black.

(ii) (all) square chips are not black.

(iii) square chips may be black.

- ◆ However, we do not have to assume different negations;

(i), (ii) and (iii) give different types of contradicting evidence.

- ◆ This explanation can be transferred to the analysis of negation here:

23) **S<sub>1</sub>:**  $C + [F(\phi) \Rightarrow F(\psi)]$ .

**S<sub>2</sub>:** No (rejects this move)

(i)  $C + [F(\phi) \& F(\neg \psi)]$

(ii)  $C + [F(\phi) \Rightarrow F(\neg \psi)]$

(iii)  $C + \Diamond \sim [F(\phi) \Rightarrow F(\psi)]$

# Embeddings: Conditional consequents ✓



- ◆ Easy to implement, as consequents are speech acts:

$$\begin{aligned} [\mathfrak{A} \Rightarrow [\mathfrak{B} \Rightarrow \mathfrak{C}]] &= [\sim \mathfrak{A} \vee [\sim \mathfrak{B} \vee \mathfrak{C}]] \\ &= [[\sim \mathfrak{A} \vee \sim \mathfrak{B}] \vee \mathfrak{C}] \\ &= [[\mathfrak{A} \& \mathfrak{B}] \vee \mathfrak{C}] = [[\mathfrak{A} \& \mathfrak{B}] \Rightarrow \mathfrak{C}] \end{aligned}$$

24) If all Greeks are wise, then if Fred is Greek, he is wise.

entails: If all Greeks are wise and Fred is a Greek, then he is wise.

- ◆ CP analysis achieves this result under stipulation:

$$\begin{aligned} \cdot [\varphi > [\psi > \pi]] &= \lambda i [[\psi > \pi](ms(i, \varphi))] \\ &= \lambda i [\lambda i' [\pi(ms(i', \psi))](ms(i, \varphi))] \quad \text{Necessary assumption:} \\ &= \lambda i [\pi(ms(ms(i, \varphi), \psi))] \\ \cdot [[\varphi \wedge \psi] > \pi] &= \lambda i [\pi(ms(i, [\varphi \wedge \psi]))] \quad ms(ms(i, \varphi), \psi) \\ &= ms(i, [\varphi \wedge \psi]) \end{aligned}$$

- ◆ Possible counterexample (Barker 1995):

25) If Fred is a millionaire, then even if he does fail the entry requirement, we should (still) let him join the club.

Problem: scope of *even* cannot extend over conditional after conjunction of antecedents

# Embeddings: Conditional antecedents %



- ◆ Conditional antecedents are difficult to interpret  
(cf. Edgington, 1995, Gibbard, 1981)

26) If Kripke was there if Strawson was there, then Anscombe was there.

- ◆ Explanation:

Antecedent must be a proposition, but conditional is a speech act!

- ◆ Sometimes conditional antecedents appear fine (Gibbard):

27) If the glass broke if it was dropped, it was fragile.

- Read with stress on *broke*, whereas *if it was dropped* is deaccented
- This is evidence for *if it was dropped* to be topic of the whole sentence.
- Facilitates reading *If the glass was dropped, then if it broke, it was fragile*; this is a conditional consequent, which is fine.

- ◆ Notice that for CP theorists, conditional antecedents should be fine  
 $[[\varphi > \psi] > \pi] = \lambda i [\pi(ms(i, \lambda i' [\psi(ms(i', \varphi))]))]$ .

# Embeddings: Propositional attitudes

- 28) Bill thinks / regrets / hopes / doubts that if Mary applies, she will get the job.  
 29) Bill thinks / regrets / hopes / doubts that Mary will get the job if she applies.  
 30) A: If Mary applies, she will get the job. B: I believe that, too. / I doubt that.
- ◆  $[\text{CP} \text{ that } [\text{TenseP} \dots]]$  suggests an TP (propositional) analysis of conditionals
  - ◆ Krifka 2014: Coercion of assertion to proposition,  $\mathfrak{A} \rightsquigarrow \text{'}\mathfrak{A}\text{ is assertable'}$
  - (28)  $\rightsquigarrow$  Bill thinks / regrets / hopes / doubts
    - that it is assertable that if Mary applies, she will get the job,
    - that whenever established that Mary applies, it is assertable that she will get the job
  - ◆ Assertability of A at a commitment space C:
    - A speaker S is justified in initiating  $C + \mathfrak{A}$ ,
    - a speaker S that initiates  $C + \mathfrak{A}$  has a winning strategy, i.e. can ultimately defend this update.
  - ◆ Possibly similar with:
- 31) It is (not) the case that if Mary applies, she will get the job;  
 ‘it is (not) assertable that if Mary applies, she will get the job’
- ◆ Evidence for this coercion: discourse / speech act operators in *that* clauses
- 32) they thought that, frankly, they made more complex choices every day in Safeway than when they went into the ballot box
- ◆ As in other cases of coercion, required by selection of lexical operator, e.g. *think*, *doubt* ...,

# Counterfactual conditionals

- ◆ Indicative conditionals considered so far:  
 The antecedent can be informatively added to the commitment space,  
 e.g.  $C + \text{if } \varphi \text{ then ASSERT } \psi$  pragmatically implicates that  $C + F(\varphi) \neq \emptyset$
  - ◆ This is systematically violated with counterfactual conditionals:
- 33) If Mary had applied, she would have gotten the job.  
 34) If 27413 had been divisible by 7, Fred would have proposed to Mary.
- ◆ Proposal:
    - The counterfactual conditional requires **thinning out** the commitment states so that the antecedent  $F(\varphi)$  can be assed.
    - This requires “going back” to a hypothetical larger commitment space in which the actual commitment space is embedded.
  - ◆ This leads to the notion of a **commitment space with background**, that captures the (possibly hypothetical) commitment space (**background**) “before” the **actual** commitment space

# Commitment Space with Background



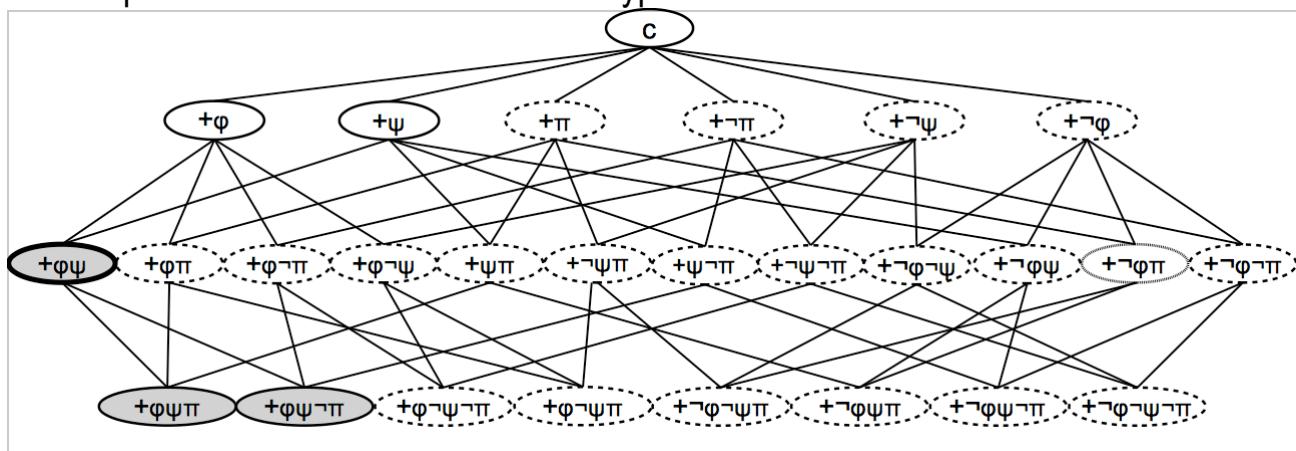
- ◆ A commitment space with background is a pair of commitment spaces  $\langle C_b, C_a \rangle$ , where
  - $C_a \subseteq C_b$
  - $\forall c \in C_b [c < C_a \rightarrow c \in C_a]$ , where  $c < C_a$  iff  $\exists c' \in C_a [c \subseteq c']$ , i.e.  $C_a$  is a “bottom” part of  $C_b$
- ◆ Example:  $\langle C, C + F(\varphi) + F(\psi) \rangle$

root: fat border,

past commitment states: solid

actual commitment space: gray

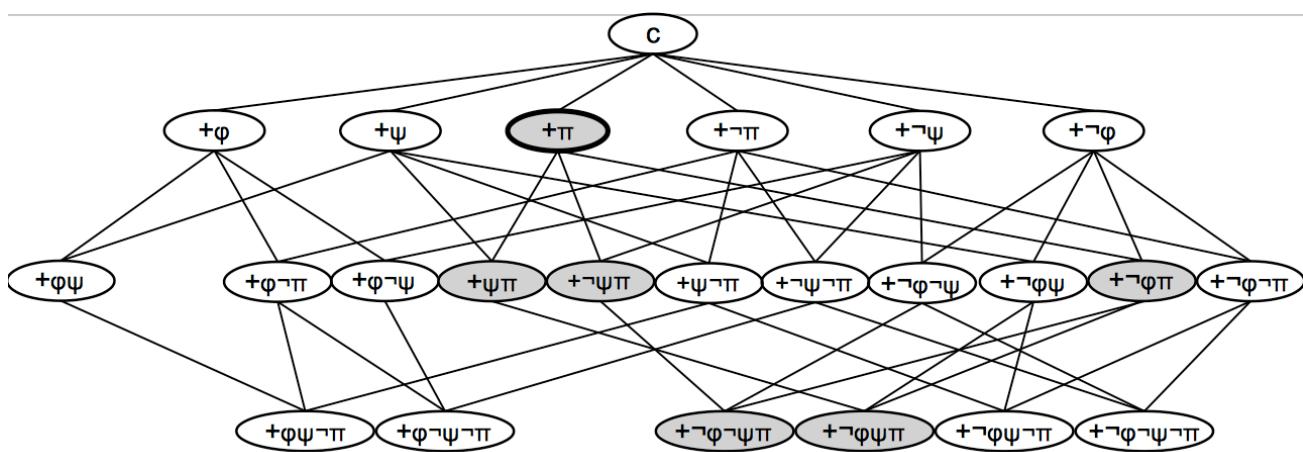
hypothetical commitment states: dotted



## Update of CS with background



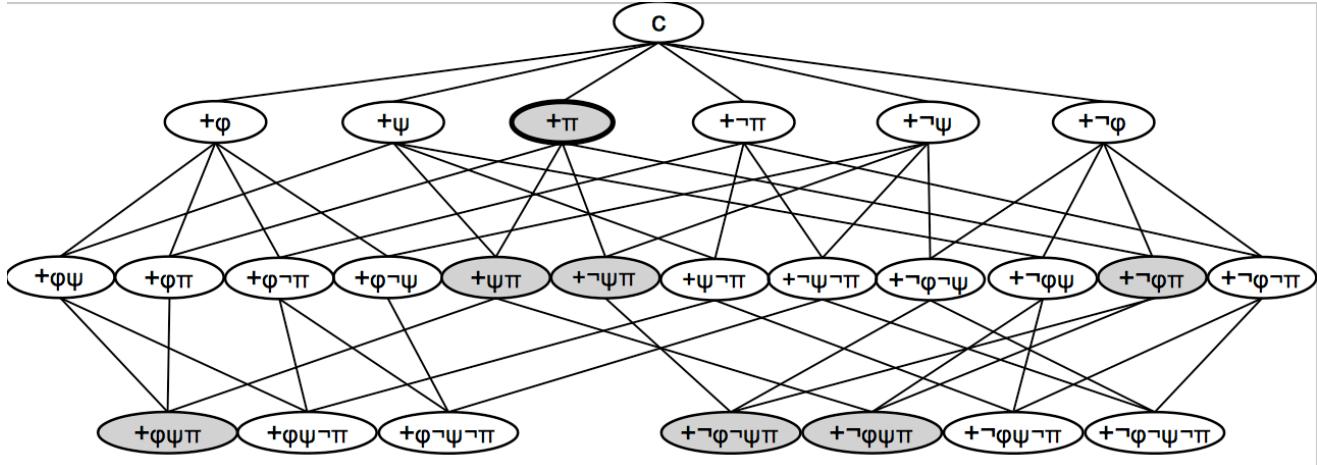
- ◆ Regular update of a commitment space with background:  $\langle C_b, C_a \rangle + \mathfrak{A} = \langle \{c \in C_b \mid \neg [C_a + \mathfrak{A}] < c\}, [C_a + \mathfrak{A}] \rangle$ , where  $C < c: \exists c' \in c [c' \subset c]$ 
  - Regular update of commitment space  $C_a$
  - Eliminating commitment states “under”  $C_a$  in background
- ◆ Update with denegation “prunes” background CS, here:  $\langle C, C + F(\pi) \rangle + \neg F(\varphi)$



# Update of CS w background by conditional

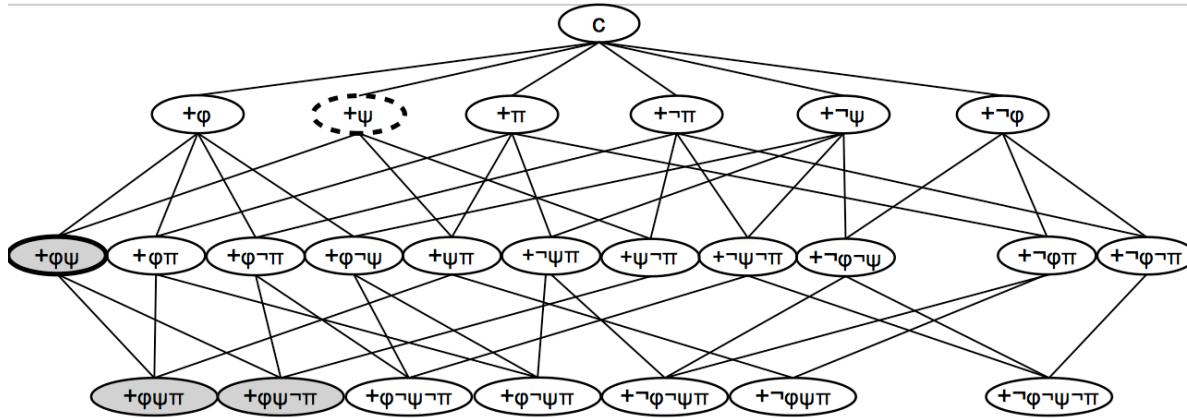
- ◆ As conditional update involves denegation, we also observe pruning
- ◆ Example:

$$\begin{aligned} & \langle C_b, C_a + F(\pi) \rangle + [\varphi \Rightarrow F(\psi)] \\ &= \langle C_b, C_a + F(\pi) \rangle + [\neg F(\varphi) \vee F(\psi)] \end{aligned}$$



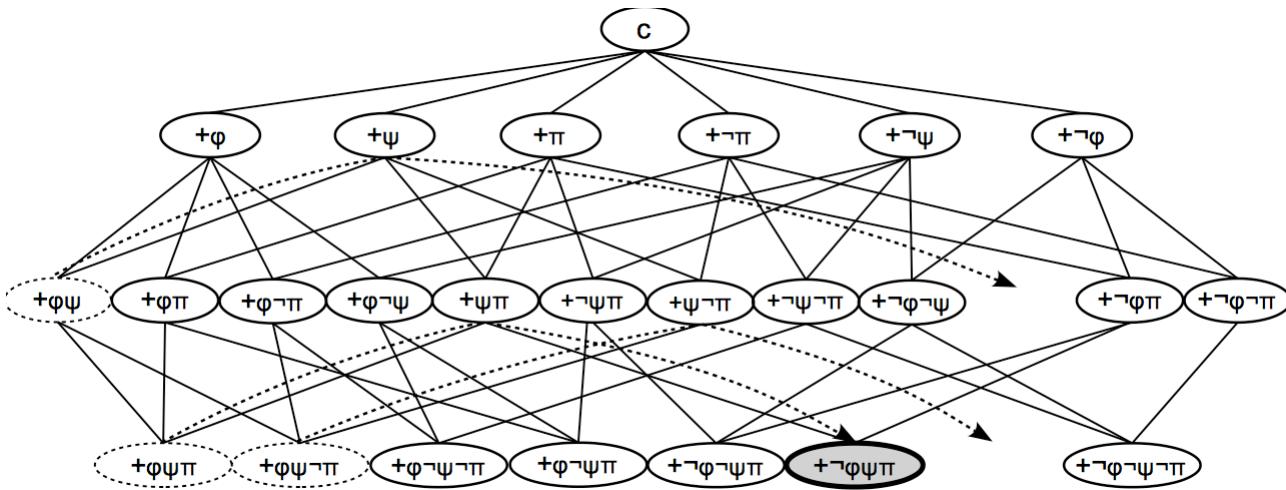
## Counterfactual conditionals

- ◆ Update with counterfactual conditional:
  - Let  $C_a$  be  $C_b + F(\varphi) + F(\psi)$
  - $\langle C_b, C_a \rangle + [F(\neg\varphi) \Rightarrow F(\pi)] = \dots C_a + \neg F(\neg\varphi) \dots = \dots C_a - C_a + F(\neg\varphi) \dots$ ,
  - but  $C_a + F(\neg\varphi)$  not felicitous, as  $\forall c \in C_a : \neg\varphi \notin c$
- ◆ Revisionary update: go back to c.state where update is defined:
  - $C +_R F(\varphi) = \{ms(c, \varphi) + f(\varphi) \mid c \in C\}$ ,  
 $ms(c, \varphi)$  = the c.state maximally similar to c that can be updated with  $\varphi$
- ◆ Going back to dotted c.state; update with  $[\neg\varphi \Rightarrow F(\pi)]$ ; effect on background



# Counterfactual conditionals

- ◆ Counterfactual conditional informs about hypothetical commitment states, which may have an effect under revisionary update,
- ◆ Example:  
 $C_b + F(\varphi) + F(\psi) + (\text{counterfactual}) [\neg\varphi \Rightarrow F(\pi)] + (\text{revisionary}) F(\neg\varphi)$
- ◆ Notice that the effect of the counterfactual conditional remains, it is guaranteed that  $\pi$  is in the resulting commitment space



# Counterfactuals and “fake past”

- ◆ Explaining of “fake past tense” in counterfactual conditionals  
 Dudman 1984, Iatridou 2000, Ritter & Wiltschko 2014, Karawani 2014, Romero 2014
  - Past tense shifts commitment space from actual to a “past” commitment space; this does not have to be a state that the actual conversation passed through, but might be a hypothetical commitment space.
  - As conversation happens in time, leading to increasing commitments, this is a natural transfer from the temporal to the conversational dimension.
- ◆ Ippolito 2008 treats “fake tense” as real tense, going back in real time where the counterfactual assumption was still possible.  
 Problem with time-independent clauses:
  - 35) If 27413 had been divisible by 7, I would have proposed to Mary.
  - 36) If 27419 was divisible by three, I would propose to Mary.
- ◆ Going from  $c$  to a commitment state  $c' \subset c$   
 with fewer assumptions to make a counterfactual assertion  
 may involve going to different worlds for which a commitment state  $c'$  is possible.  
 (cf. See Krifka 2014 for a model with branching worlds)

# Wrapping up



- ◆ Modeling conditionals as conditional speech acts is possible!
- ◆ There are advantages over modeling as conditional propositions:
  - Flexibility as to speech act type of consequent
  - Restrictions for embedding of conditionals
  - Logical properties of conditionals without stipulations about accessibility relation.
- ◆ The price to pay:
  - Certain embeddings require a coercion from speech acts to propositions, e.g. from assertions to assertability
  - Conditionals are not statements about the world, but about commitment spaces in conversation; this requires idealizing assumptions about rationality of participants, extending commitment spaces beyond current conversation.
- ◆ A theory of counterfactuals
  - Counterfactuals not about non-real worlds but about thinned-out commitment states
  - Allows for counterfactual conditionals with logically false antecedents
  - Suggests a way to deal with fake past